city of
CINCINNATI
OFFICE OF
THE CITY MANAGER

Milton Dohoney, Jr., ICMA-CM

May 4, 2012

Ms. Jorgelle Lawson, Director
Community Planning & Development
Department of Housing and Urban Development
200 North High Street
Columbus, Ohio 43215-2499

Dear Ms. Lawson:

Enclosed please find a substantial amendment to the City's Consolidated Plan/Action Plan for the City's Emergency Solutions Grant (ESG) program.

A substantial amendment to the City's Consolidated Plan/Action Plan is required to receive the second allocation of 2011 ESG funds under the ESG notice released January 27, 2012.

If you have any questions concerning our submission, please contact Mr. Oren J. Henry at 513-352-6264.

Thank you for your assistance.

Sincerely,

Milton Dohoney, Jr.
City Manager

Enclosure

c: Michael Cervay
Lea Eriksen
Russell Hairston
Roy Hackworth

801 Plum Street, Suite 152 • Cincinnati, Ohio 45202-5706
P 513 352 3241 • F 513 352 6284 • www.cincinnati-oh.gov

CITY OF CINCINNATI
CONSOLIDATED PLAN/ACTION PLAN
SUBSTANTIAL AMENDMENT
EMERGENCY SOLUTIONS GRANT
2011/2012

GENERAL

EXECUTIVE SUMMARY

The Emergency Solutions Grant (ESG) program supersedes the Emergency Shelter Grant program during 2011 and for 2012 to address the needs of homeless persons and families. HUD implemented a two-stage ESG allocation process for 2011 in order to address pressing local needs for immediate funding and to meet Congressional direction on funding ESG activities. HUD released an initial $160 million nationally for the revised ESG program in July 2011, so grantees could proceed with plans to fund existing emergency shelters. Following the publication of amended ESG regulations January 27, 2012, HUD began the process to release an additional allocation to the same grantees for homeless prevention activities. With this second ESG allocation, the City of Cincinnati will be required to complete a substantial amendment to our Consolidated Plan/Action Plan to initiate the additional funding in the Emergency Solutions Grants Program. The City has followed the current Emergency Shelter Grant regulations for activities under the first allocation. The following Substantial Amendment fulfils the process to secure the additional ESG funding for this community.

1. Summary of Consultation

The City of Cincinnati, Hamilton County, and the CoC lead agency, Strategies to End Homelessness (STEH) have been discussing the use of ESG funds in preparation of the implementation of HEARTH Act changes since 2009. After the publication of the Emergency Solutions Grant Interim rule, these three parties met several times to discuss exactly how to allocate ESG funds, develop performance standards for activities funded under ESG, and also to discuss policies and procedures related to the operation and administration of HMIS.

STEH has also brought together shelter providers, street outreach providers, and homelessness prevention providers to discuss eligible

activities and to determine community priorities for activities to be funded by ESG and other community resources.

The City and County in coordination with STEH decided to do the following:

1. Submit two new Rapid Re-housing grants for funding as a part of the community's 2011 HUD Continuum of Care for the Homeless (CoC) funding process. These two new RRH resources, combined with an already operating RRH for Families Demonstration program (all together totaling $1.2 Million per year) are intended to meet the community's needs for RRH activities and services. These RRH funds have now been awarded by HUD.
2. Fund future shelter activities and operations at the same level allocated to such activities in 2012, an amount which is below the 60% threshold for emergency shelter activities and street outreach.
3. The community determined that the best use of the second allocation of 2011 ESG funds would be to continue homelessness prevention efforts that started with Homelessness Prevention/Rapid Re-housing (HPRP) stimulus funds. The ESG – funded Homelessness Prevention Program will function strictly as a shelter diversion program, and serve families and individuals who are contacting the Central Access Point (CAP) seeking shelter, instead of serving households that are specifically requesting financial assistance to prevent eviction.

2. Summary of citizen participation process

Consolidated Plan Amendments

A substantial amendment to the Consolidated Plan Budget requires public notice in the City Bulletin and a newspaper of general circulation with a 30-day opportunity to comment, and a public hearing before the City Council. The City considers all comments from citizens prior to the submission of the substantial amendment, and explains reasons for accepting or not accepting such comments as part of its amendment process.

A substantial amendment is defined to include the following situations:

- Major budget adjustments related to final resources;
- Reallocation of program dollars exceeding $3,000,000, except for the annual sunset process;
- A proposed change in the allocation or selection criteria for generic programs such as loan programs or competitive development programs;

and

- Proposed uses of HUD 108 Loan Authority or CDBG Float loans.

A public hearing before the City Council will be held, if necessary, to consider the amendment to the Consolidated Plan related to the allocation of final resources.

In addition, a public hearing will be held at any other time during the year concerning any substantial amendment to the Consolidated Plan. A notice of the public hearing will appear in a newspaper of general circulation and in the City Bulletin approximately 15 days before the hearing. In addition, community organizations will be sent notices by surface mail or e-mail. The public hearing will be held in City Hall, which is an accessible facility. Other accommodations for sight or hearing-impaired persons and for non-English speaking persons will be made upon request.

It was determined a substantial amendment was necessary for implementing the changes to the Emergency Solutions Grant with the new allowable activities. A public hearing was advertised in the Cincinnati Enquirer on April 4, 2012, notifying citizens that a public hearing would occur on April 16, 2012 at 1:00 PM in City Council Chambers before the Finance & Budget Committee of the Cincinnati City Council. Copy of ad attached. The substantial amendment was posted on the City's web page also on April 4, 2012 and distributed to the members of the City Council. A presentation was made to the Council during the public hearing including representatives of the Continuum of Care. No comments were made by any citizens present and none were received by the City during the 30 day comment period. The City Council approved Ordinance No. 0149-2012 on May 2, 2012 authorizing the submission of this substantial amendment. Copy of this ordinance is attached.

3. **Match**

ESG recipients and/or sub recipients will provide match to meet the 100% match requirement. Match sources will include private foundation grants, United Way dollars, other federal funding, and contributions. These matching funds will be spent on a central intake system, supportive services for recipients of prevention assistance, shelter operations, and shelter rehabilitation.

4. **Proposed Activities and overall budget Corresponding priority need from Annual Action Plan and corresponding standard objective category and the corresponding outcome category are required in this section**

a. Proposed activities

Homelessness Prevention—Rental Assistance

Homelessness Prevention—Housing Relocation and Stabilization Services

As the second allocation of ESG funds will be used for Homelessness Prevention, housing relocation and stabilization services, as well as short and medium term rental assistance will be provided to meet the housing needs of those most imminently at-risk of entering a shelter. Allowable expenses for each activity under financial assistance may include moving costs, rent application fees, security deposit, last month's rent, utility deposit and utility payments. Eligible services include housing search/placement and legal services. Case management services will be provided by case managers funded with non-ESG resources. Short-term rental assistance will allow assistance for up to three months; whereas medium rental assistance (offered on a more limited basis) will allow 4-24 months of rental assistance.

Approximately 145 households (consisting of approximately 350 people) will receive housing stabilization services and rental assistance with the second FY 2011 allocation of ESG funds.

The start and completion date of the ESG-funded Shelter Diversion program will be determined by the date a grant agreement is executed with HUD.

b. Discussion of Funding Priorities

The City of Cincinnati and Hamilton County have chosen the following funding strategy to ensure that our community is "transforming homeless services to crisis response systems that prevent homelessness and rapidly return people who experience homelessness to stable housing" in a fashion that is consistent with the goals and objectives of *Opening Doors: Federal Strategic Plan to Prevent and End Homelessness.*:

1. Homelessness Prevention/Shelter Diversion: our community will fund Homelessness Prevention (as Shelter Diversion) with the second allocation of ESG funding based on the success of the HPRP Homelessness Prevention Program.
2. Rapid Re-housing: two new Rapid Re-housing grants (HUD SHP funds) have been awarded to the community through our 2011 HUD Continuum of Care for the Homeless (CoC) funding

process. These two new RRH resources, combined with an already operating RRH for Families Demonstration program (totaling $1.2 million per year) are intended to meet the community's needs for RRH activities and services.

3. Emergency Shelter: Continue funding shelter activities and operations at the same level allocated to such activities in 2012, which is below the 60% threshold for emergency shelter activities and street outreach.

With this funding strategy, our community's needs for homelessness prevention, emergency shelter, and rapid re-housing are all being addressed. Primary obstacles going forward will be that the funding for both prevention and RRH activities will be more limited than under the HPRP program. To address this obstacle, additional county tax levy funds have been secured for shelter operations, additional United Way and private foundation funding is being sought for homelessness prevention, and additional CoC funding will be directed toward RRH in future CoC applications.

The corresponding need from our community's action plan is**: Homeless Population Objective 8 (HP-8):** Offer shelter diversion services to decrease the number of households in shelter or places not meant for human habitation.

The corresponding standard objective and outcome categories are: Rapid re-housing activities cover Suitable Living Environment for the Objective Category and the Sustainability for the Outcome Category. Shelter activities cover Suitable Living Environment for the Objective Category and Availability/Accessibility for the Outcome Category.

a. Detailed Budget (See attachment)

5. **Written standards for provision of ESG Assistance**

a. Standard policies and procedures for evaluating individuals' and families' eligibility for assistance under ESG

The new ESG funded Shelter Diversion program will work as follows:

- Households seeking emergency shelter will contact and be screened by the Central Access Point, our community's emergency shelter central access system.

- For a household to be considered for the Shelter Diversion program, they must meet the two threshold criteria as well as have at least one risk factor as indicated below.

The two threshold criteria are:

1. Household income below 30% of the Area Median Income (AMI) and,
2. The household has insufficient resources immediately available to attain housing stability.

Pertinent risk factors which will be incorporated into the Shelter Diversion program screening are:

1. Has moved frequently because of economic reasons;
2. Is living in the home of another because of economic hardship;
3. Has been notified that their right to occupy their current housing or living situation will be terminated;
4. Lives in a hotel or motel;
5. Lives in severely overcrowded housing

The Shelter Diversion Program is designed as a short-term (3 month) program where a household may receive rental assistance and housing stabilization services. All participants will be required to meet with a caseworker at one of the participating Emergency Assistance (EA) centers prior to receiving assistance. The EA centers will be required to provide on-going case services and support to clients for three months. Households may receive assistance once per year. Financial assistance is projected to average $2,000 per case, not to exceed $2,500 per case. Legal assistance will be provided when necessary to assist clients in maintaining housing.

b. Policies and procedures for coordination among emergency shelter providers, essential service providers, homelessness prevention and rapid re-housing assistance providers, and mainstream service and housing providers.

Emergency Assistance Centers participating in the Shelter Diversion Program will be required to participate in the community database and HMIS, VESTA, to ensure comprehensive data collection that is used for community planning and coordination related to households who are homeless or at-risk of homelessness.

Additionally, all case managers will participate in a bi-weekly peer review meeting where best practices, community resources, and other pertinent information will be shared amongst the providers. This group will serve as the Homelessness Prevention Workgroup, continually evaluate the performance of the program and plan improvements. The group will be staffed by STEH.

c. Policies and procedures for determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which eligible families and individuals will receive rapid re-housing assistance

All households calling the Central Access Point seeking emergency shelter will be screened for the Shelter Diversion Program. Households, who are in need of shelter but can stay with their host family/friend or remain housed for up to one week in their current housing and meet all other eligibility criteria, will be referred to the Shelter Diversion Program. Households who are unable to remain housed for up to one week or who are currently staying on the streets or in shelter may be eligible to receive assistance through the RRH programs funded by CoC grants and will be placed in an appropriate shelter.

d. Standards for determining the share of rent and utilities costs that each program participant must pay, if any, while receiving homelessness prevention or rapid re-housing assistance.

The amount of assistance available to households will be limited to $2500. The case manager will work with the household to develop an individualized service plan to determine the costs that each household must pay based on their household's unique circumstances. Case managers will be flexible and consider a wide range of options such as paying the full rental amounts or a declining subsidy when creating individualized service plans.

e. Standards for determining how long a particular program participant will be provided with rental assistance and whether and how the amount of that assistance will be adjusted over time.

Households participating in the Shelter Diversion Program are required to meet with a case worker at a participating Emergency Assistance Center who will complete a comprehensive assessment

of the household's barriers to housing stability and assist the household with developing an individualized service plan to address these barriers. This plan will be used as a guide for determining the type, amount and duration of rental assistance provided. Any household who may need assistance beyond the initial three month period must be recertified to ensure that the household's income is at or below 30% AMI and the household lacks sufficient resources and support networks to retain housing without ESG assistance.

f. Standards for determining the type, amount and duration of housing stabilization and/or housing relocation services to provide a program participant.

Households participating in the Shelter Diversion Program are required to meet with a case worker at a participating Emergency Assistance Center who will complete a comprehensive assessment of the household's barriers to housing stability and assist the household with developing an individualized service plan to address these barriers. This plan will be used as a guide for determining the type, amount and duration of housing stabilization and/or housing relocation services provided. Any household who may need assistance beyond the initial 3 month period must be recertified to ensure that the household's income is at or below 30% AMI and the household lacks sufficient resources and support networks to retain housing without ESG assistance.

6. Making Sub Awards

The local CoC Lead agency, STEH, administers homeless housing and services within the Cincinnati/Hamilton County Continuum of Care for the Homeless (OH-500), in a combined effort with the City of Cincinnati and Hamilton County, including ESG funding. The Homeless and Special Needs sections of both jurisdictions' Consolidated Plans reflect the work of the entire continuum effort. In addition, STEH was the HPRP sub-grantee for both the City and the County. STEH oversees resources available for a wide variety of activities including but not limited to homelessness prevention, emergency shelter, permanent supportive housing and transitional housing. STEH is nationally recognized for the development of community-wide solutions to ending homelessness. The second allocation and the remainder of the first allocation of 2011 ESG funds will be sub-contracted to STEH to be consistent with the current activities funded through the CoC process. Funds allocations to sub-recipients will be

coordinated by STEH ensure service to any eligible person/family within either jurisdiction. Homelessness prevention activities (following a Shelter Diversion model) and shelter operations are being funded with ESG funds, while rapid re-housing, transitional housing, and permanent supportive housing are being funded with CoC funds. By utilizing the available funds in this way, all such services can be delivered at the levels that best meets our community's needs and to decrease homelessness within the jurisdiction.

In regard to the local homelessness prevention/shelter diversion efforts going forward, a Request for Proposals (RFP) to participate in the Shelter Diversion Program was issued on February 20, 2012. Responses must be submitted to STEH by March 16, 2012. Any non-profit organization may submit a proposal for consideration if they meet the minimum requirements outlined in the RFP. All proposals will be evaluated by City and County officials along with STEH staff. Once all RFPs are evaluated, STEH will sub-contract with the selected service providers who will provide case management services to households at imminent risk of entering shelter. Direct assistance financial disbursements will be handled by STEH.

7. Homeless Participation Requirements

All sub recipients of ESG funds currently include the participation of at least one homeless or formerly homeless individual on their board of directors. Sub recipients also encourage client feedback on services received at their facilities through surveys and opportunities to provide anonymous comments and suggestions. Also, as part of the annual community priority setting process, STEH facilitates two homeless think tank events to seek input directly from people who are homeless regarding systemic planning and improvements.

8. Performance Standards

The City of Cincinnati and Hamilton County have established the following program performance standards for activities funded under ESG to align with the performance standards required for CoC activities. All data to document program performance will be tracked in and reported from the VESTA ® HMIS system.

Anticipated performance measures used for program evaluation will include:

- Percentage of households that did not require shelter placement within 24 months of Shelter Diversion program intake
- Percentage of households that obtained additional income or benefits while in the program (any source)
- Percentage of households that obtained employment income while in the program
- Reduction in the number of persons entering emergency shelter

9. **Certifications** (city/county)

10. **Written standards required for recipients who are eligible and decide to use part of the second allocation of FY 2011 funds for emergency shelter and street outreach activities** (N/A)

11. **Requirements for recipients who plan to use the risk factor under paragraph (1) (iii) (G) of the "At Risk of Homelessness" Definition** (N/A)

12. **Requirements for optional changes to the FY 2011 Annual Action Plan**

a. Centralized or Coordinated Assessment System

Strategies to End Homelessness established the Central Access Point (CAP) as the intake point for family emergency shelters with all local Family Emergency Shelters in March 2008. Since that time, in addition to the four family emergency shelters, two shelters for single men, a shelter for homeless young adults, and the HPRP Homelessness Prevention Program all have joined and are now receiving intakes through CAP. Additional shelters will be joining the CAP line in the next two years. STEH has also partnered with Ohio Valley Goodwill Industries to apply for a Supportive Services for Veteran Families (SSVF) homelessness prevention grant which would allow our coordinated system to serve Veterans and their families who are at-risk of homelessness. Both this SSVF program and the ESG-funded Shelter Diversion Program will be served by CAP.

CAP is a telephone service where single individuals and families who are homeless or at risk of homelessness call to receive placement into emergency shelter or homelessness prevention. All callers are screened for immediacy of need and those who are not yet homeless but face an imminent risk are referred to the homelessness prevention program. Those who are already literally homeless and cannot be served with shelter diversion resources are placed into shelter.

b. Monitoring

Monitoring of specific activities provided to participants through the ESG program will be through the HMIS system. All direct service agencies will use the local HMIS– VESTA software and will be required to enter complete demographic information on each participant served as well as specialized intake and exit information. Documentation of consumer contact will be recorded in VESTA. Additionally, VESTA will handle all direct financial assistance associated with the program. Caseworkers will complete full demographic information on each participant and household member, and financial requests for rent, utilities, etc. will be made electronically through VESTA to STEH. A dedicated financial management staff person will be assigned to issue financial assistance. Program coordination and oversight will also be provided by STEH and all provider agencies have agreed to a peer review process whereby a bi-weekly case management meeting will occur to review activities, outcomes, community coordination, and new opportunities/programs for participants.

Contractual arrangements with STEH will require complete reporting on the program, pursuant to HUD guidance, every quarter as required by the Notice. Reporting from STEH will be provided to both the City of Cincinnati and Hamilton County as well as to HUD (field office and headquarters) as specified. Information as required for IDIS will be generated on an as-needed basis, as required by the grantee for IDIS accounting.

STEH will perform at least one on-site monitoring with all agencies participating in the ESG Homelessness Prevention Program to ensure accurate data entry and recordkeeping.

An annual, independent, A-133 audit will be required of STEH and reviewed by both the City and the County once completed.

An annual, on-site monitoring of STEH will be performed by Community Development staff of Cincinnati and Hamilton County.

CONCLUSION OF SUBSTANTIAL AMENDMENT

SF 424

The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the 1CPMP.xls document of the CPMP tool.

SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

05/07/2012	B11MC390003	**Type of Submission**	
		Application	**Pre-application**
		☐ Construction	☐ Construction
		☐ Non Construction	☐ Non Construction
Applicant Information			
Cincinnati		OH391062 CINCINNATI	
Budget and Evaluation		04-332-5158	
801 Plum St. Room 142		City of Cincinnati	
Cincinnati	Ohio	Office of the City Manager	
45202		Budget and Evaluation	
Employer Identification Number (EIN):		Hamilton	
31-6000064		01/11	
Applicant Type:		**Specify Other Type if necessary:**	
Entitlement City			
Program Funding		**U.S. Department of Housing and Urban Development**	
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding			
Community Development Block Grant		14.218 Entitlement Grant	
City of Cincinnati Community Development Block Grant portion of the Consolidated Plan		City of Cincinnati Description of Areas Affected by CDBG Project(s)	
$11,751,746 CDBG Grant Amount	$0 Additional HUD Grant(s) Leveraged	Describe	
$0 Additional Federal Funds Leveraged		$0 Additional State Funds Leveraged	
$0 Locally Leveraged Funds		$0 Grantee Funds Leveraged	
$1,600,000 Anticipated Program Income		Other (Describe)	
$15,657,453 Total Funds Leveraged for CDBG-based Project(s)			
Home Investment Partnerships Program		14.239 HOME	
City of Cincinnati HOME grant portion of the Consolidated Plan		City of Cincinnati Description of Areas Affected by HOME Project(s)	
$2,564,534 HOME Grant Amount	$0 Additional HUD Grant(s) Leveraged	Describe	
$0 Additional Federal Funds Leveraged		$0 Additional State Funds Leveraged	
$0 Locally Leveraged Funds		$400,822 Grantee Funds Leveraged	

$150,000 Anticipated Program Income	$0 Other (Describe)
$2,955,356 Total Funds Leveraged for HOME-based Project(s)	

Housing Opportunities for People with AIDS		14.241 HOPWA
City of Cincinnati HOPWA portion of the Consolidated Plan		City of Cincinnati and region Description of Areas Affected by HOPWA Project(s)
$657,741 HOPWA Grant Amount	$0 Additional HUD Grant(s) Leveraged	Describe
$0 Additional Federal Funds Leveraged		$0 Additional State Funds Leveraged
$0 Locally Leveraged Funds		$0 Grantee Funds Leveraged
$0 Anticipated Program Income		Other (Describe)
$657,741 Total Funds Leveraged for HOPWA-based Project(s)		

Emergency Shelter Grants Program		14.231 ESG	
City of Cincinnati Emergency Shelter Grant portion of the Consolidated Plan		City of Cincinnati ESG Project(s)	Description of Areas Affected by
$889,886 ESG Grant Amount	$0 Additional HUD Grant(s) Leveraged		Describe
$0 Additional Federal Funds Leveraged		$0 Additional State Funds Leveraged	
$889,886 Locally Leveraged Funds		$0 Grantee Funds Leveraged	
$0 Anticipated Program Income		Other (Describe)	
$1,779,772 Total Funds Leveraged for ESG-based Project(s)			

Congressional Districts of:		Is application subject to review by state Executive Order 12372 Process?	
Ohio First and Second Applicant Districts	Ohio First and Second Project Districts		
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		☐ Yes	This application was made available to the state EO 12372 process for review on DATE
		X No	Program is not covered by EO 12372
☐ Yes	X No	☐ N/A	Program has not been selected by the state for review

Person to be contacted regarding this application		
Oren	J	Henry
Community Development Administrator	(513) 352 6264	(513) 352 3233
Oren.henry@cincinnati-oh.gov	www.cincinnati-oh.gov	Lea Eriksen (513) 352 1578
Signature of Authorized Representative Milton Dohoney Jr		Date Signed May 7, 2012

FY 2011 Detailed Budget Table

First Allocation	$569,527.00	**FY 2011 Emergency Shelter Grants/Emergency Solutions Grants Program Allocations**			
Second Allocation	$320,359.00				
Grant Amount	$889,886.00				
Total Administration	$66,741.45				
		First Allocation		**Second Allocation**	**Total Fiscal Year 2011**
	Eligible Activities	**Activity Amount**	**Reprogrammed Amount**	**Activity Amount**	**Activity Amount**
Emergency Shelter Grants Program	Homeless Assistance	**$541,051.00**	**$6,051.00**		**$535,000.00**
	Rehab/Conversion				$0.00
	Operations	$541,051.00	$6,051.00		$535,000.00
	Essential Services				$0.00
	Homelessness Prevention				**$0.00**
	Administration	**$28,476.00**	**$226.00**		**$28,250.00**
	Emergency Shelter Grants Subtotal	**$569,527.00**	**$6,277.00**		**$563,250.00**
Emergency Solutions Grants Program	Emergency Shelter**			**$0.00**	**$0.00**
	*Renovation***			$0.00	$0.00
	*Operation***			$0.00	$0.00
	*Essential Service***			$0.00	$0.00
	*URA Assistance***			$0.00	$0.00
	Street Outreach - Essential Services**			**$0.00**	**$0.00**
	HMIS				**$0.00**
	Rapid Re-housing		**$0.00**	**$0.00**	**$0.00**
	Housing Relocation and Stabilization Services				$0.00
	Tenant-Based Rental Assistance				$0.00
	Project-Based Rental Assistance				$0.00
	Homelessness Prevention		**$6,277.00**	**$282,094.00**	**$288,371.00**
	Housing Relocation and Stabilization Services			$70,523.50	$70,524
	Tenant-Based Rental Assistance		$6,277.00	$211,570.50	$217,848
	Project-Based Rental Assistance				$0.00
	Administration			**$38,265.00**	**$38,265.00**
	Emergency Solutions Grants Subtotal		**$6,277.00**	**$320,359.00**	**$326,636.00**
			Total Grant Amount:		**$889,886.00**

**Allowable only if the amount obligated for homeless assistance activities using funds from the first allocation is less than the expenditure limit for emergency shelter and street outreach activities (see Section III.B. of this Notice).

EMERGENCY

City of Cincinnati

KAM

An Ordinance No. 149 - 2012

AUTHORIZING the submission of a Substantial Amendment to the City of Cincinnati's 2011-2012 Consolidated Plan/Action Plan to the United States Department of Housing and Urban Development for the Emergency Solutions Grant for the purpose of obtaining Federal funding under the second FY 2011 allocation of Emergency Solutions Grant funds; further AUTHORIZING the establishment of grant project account 445x162x15020, "Homeless Prevention-Housing Relocation and Stabilization Services"; and AUTHORIZING the establishment of grant project 445x162x15021, "Homelessness Prevention-Tenant Based Rental Assistance."

WHEREAS, the Substantial Amendment fulfills the process to secure the additional Emergency Solutions Grant (ESG) funding for this community in the amount of $320,359 in addition to the appropriate of $6,277 in unallocated funds from the first allocation of FY 2011 ESG funds, which totals $326,636 of ESG funds for the FY 2011; and

WHEREAS, there is a 100% matching requirement for this funding, as there has been historically for the ESG program; and

WHEREAS, ESG recipients and/or sub recipients will provide funds to meet the 100% match requirement; and

WHEREAS, match sources will include private foundation grants, United Way dollars, other federal funding and contributions; and

WHEREAS, there are no new FTE associated with the Substantial Amendment; now, therefore,

BE IT ORDAINED by the Council of the City of Cincinnati, State of Ohio:

Section 1. That the City Manager is hereby authorized to submit a Substantial Amendment to the City of Cincinnati's 2011-2012 Consolidated Plan/Action Plan to the United States Department of Housing and Urban Development for the Emergency Solutions Grant for the purpose of obtaining Federal funding under the second FY 2011 allocation of ESG funds.

Section 2. That the Finance Director is hereby authorized to establish grant project account 455x162x15020, "Homeless Prevention-Housing Relocation and Stabilization Services."

Section 3. That the Finance Director is hereby authorized to establish grant project account 445x162x15021, "Homelessness Prevention-Tenant Based Rental Assistance."

Section 4. That the Finance Director is hereby authorized to appropriate funds to various ESG projects as outlined in the attached schedule.

Section 5. That proper City officials are authorized to do all things necessary and proper to carry out the terms of the Substantial Amendment and Section 1 through 4 hereof.

Section 6. That this ordinance shall be an emergency measure necessary for the preservation of the public peace, health, safety and general welfare and shall, subject to the terms of Article II, Section 6 of the Charter, be effective immediately. The reason for the emergency is the need to ensure the Substantial Amendment is submitted to the United States Department of Housing and Urban Development by the May 15, 2012 due date.

Passed: May 2, 2012

Mayor

Attest: Clerk

I HEREBY CERTIFY THAT ORDINANCE No. 149-2012
WAS PUBLISHED IN THE CITY BULLETIN
IN ACCORDANCE WITH THE CHARTER ON 5-15-2012
CLERK OF COUNCIL

Affidavit of Publication

Publisher's Fee 277.33 Affidavit Charge 10.00

State of Ohio }
}
} **SS.**
}
Hamilton County }

Personally appeared **Janice Colston**

Of the The Enquirer, a newspaper printed in Cincinnati, Ohio and published in Cincinnati, in said County and State, and of general circulation in said county, and as to the Kentucky Enquirer published in Ft. Mitchell, Kenton County, Kentucky, who being duly sworn, deposeth and saith that the advertisement of which the annexed is a true copy, has been published in the said newspaper 1 times, once in each issue as follows:

4/04/12

- [x] Cincinnati Enquirer
- [ ] Kentucky Enquirer
- [x] Cincinnati.Com

Public Hearing Notice
City of Cincinnati
Substantial Amendment
to the 2011/2012
Consolidated
Plan/Action Plan
April 16, 2012
1:00 p.m.
City Council Chambers
801 Plum Street

A public hearing will be held before the Finance & Budget Committee of the Cincinnati City Council on the City's Substantial Amendment to the Consolidated Plan/Action Plan. A 30-day public comment period has begun on April 4, 2012, on proposed changes to our Emergency Solutions Grant (ESG) action plan for Fiscal Year 2011/2012. Details of the Substantial Amendment can be viewed at: www.cincinnati-oh.gov/cmgr/pages/-12848-/ or contact Oren J. Henry, Community Development Administrator, by phone at: 513 352 6264; e-mail at: oren.henry@cincinnati-oh.gov, or at the Office of Budget and Evaluation, 801 Plum St., Room 142, Cincinnati, OH 45202. Persons with disabilities are invited to use the accessible City Hall courtyard entrance. The courtyard may be accessed through either Eighth or Ninth Street, between Plum Street and Central Avenue. 1697879

Janice Colston

AFFIANT
Sworn to before me, this
4/4/12

Crystal Williams

Notary Public of Ohio

NOTARIAL SEAL
STATE OF OHIO

Crystal Williams
Notary Public, State of Ohio
My Commission Expires 08-24-2015

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature/Authorized Official

3/12/15
Date

City Manager
Title